

Section 1: 8-K (8-K)

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

Current Report

Pursuant to Section 13 or 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 21, 2019

UNUM GROUP

(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction of
incorporation)

001-11294
(Commission File
Number)

62-1598430
(IRS Employer
Identification No.)

1 Fountain Square
Chattanooga, Tennessee 37402
(Address of principal executive offices) (Zip Code)

(423) 294-1011
(Registrant's telephone number, including area code)

Not Applicable
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common stock, \$0.10 par value	UNM	New York Stock Exchange
6.250% Junior Subordinated Notes due 2058	UNMA	New York Stock Exchange

Item 8.01 Other Events.

On October 21, 2019, Unum Group (the “Company”), announced that Martha D. Leiper, formerly Senior Vice President, Corporate Finance and Enterprise Money Movement at USAA Life Insurance Company, has been appointed Executive Vice President and Chief Investment Officer of the Company effective October 21, 2019. A copy of the news release issued by the Company on October 21, 2019 announcing Ms. Leiper’s appointment is attached hereto as Exhibit 99.1.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits. The following exhibit is filed with this report:

[99.1](#) [News release of Unum Group dated October 21, 2019, concerning the appointment of Ms. Leiper.](#)

104 Cover Page Interactive Data File (embedded within the Inline XBRL document).

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Unum Group
(Registrant)

Date: October 21, 2019

By: /s/ J. Paul Jullienne

Name: J. Paul Jullienne

Title: Vice President, Managing Counsel, and
Corporate Secretary

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Section 2: EX-99.1 (EXHIBIT 99.1)



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news

FOR IMMEDIATE RELEASE

Contacts

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Unum names Martha Leiper executive vice president and chief investment officer

CHATTANOOGA, Tenn. (Oct. 21, 2019) – Unum Group (NYSE: UNM) today announced the appointment of Martha Leiper as executive vice president and chief investment officer for the company effective October 21. She is responsible for the investment and asset management strategies of Unum’s \$51 billion portfolio (size excludes policy loans). Leiper previously held leadership roles of increasing responsibility with Unum from 1985-2010, most recently as senior vice president and deputy chief investment officer.

“We are delighted to welcome Martha back to Unum. She brings deep experience in the financial services sector and has successfully managed investment strategy through mergers and acquisitions and times of economic uncertainty,” said Richard P. McKenney, Unum Group president and CEO. “Martha has a proven ability to leverage investment opportunities across an organization and then allocate capital to drive and accelerate growth strategy. It’s great to have her back on the team.”

Most recently, Leiper served as senior vice president, corporate finance and enterprise money movement with USAA, a diversified financial services group of companies serving people and families who serve, or served, in the United States Armed Forces. In addition to managing the USAA portfolio, she served as a senior risk officer, led an investments system modernization initiative and oversaw a variety of corporate finance functions.

Leiper holds an MBA and a Bachelor of Science in Business Administration and Finance from the University of Tennessee. She maintains numerous professional designations, is active in industry associations, and has held board roles with non-profit organizations.

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ABOUT UNUM

[Unum Group](#) provides a broad portfolio of financial protection benefits and services through the workplace, and is a leading provider of disability income protection worldwide. Through its Unum US, Unum UK, Unum Poland, and Colonial Life businesses, the company provides disability, life, accident, critical illness, dental and vision benefits that protect millions of working people and their families. Unum also provides leave and absence management services that streamline the leave experience for employers and employees, and stop-loss coverage to help self-insured employers protect against medical costs. Unum reported revenues of \$11.6 billion in 2018 and paid \$7.2 billion in benefits.

For more information, connect with us on [Facebook](#), [Twitter](#) and [LinkedIn](#).

UNUM IS A REGISTERED TRADEMARK AND MARKETING BRAND OF UNUM GROUP AND ITS INSURING SUBSIDIARIES.

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